

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re:

LEHMAN BROTHERS HOLDINGS, INC., *et al.*

Debtors.

----- X  
: Chapter 11  
:  
: Case No. 08-13555 (SCC)  
:  
: (Jointly Administered)  
:  
: Ref. Docket Nos. 53667, 53668,  
: 53669, 53670, 53746, 53747,  
: 53748, 53812, 53877, 53879,  
: 53908, 53911  
----- X

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

FORREST KUFFER, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 15, 2016, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated November 15, 2016, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Forrest Kuffer  
Forrest Kuffer

Sworn to before me this  
21<sup>st</sup> day of November, 2016

/s/ Sidney J. Garabato

Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 2018

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000112237493 \*\*\* LBH TRFNTC (ADDRESS2, ADRKEYID3) 22279



BANK HAPOALIM B.M.  
18851 NE 29TH AVE  
MIAMI, FL 33180

BANK HAPOALIM B.M.  
ATTN: DAVID HERTZ & HAROLD J. WEISSLER  
1177 AVENUE OF THE AMERICAS  
NEW YORK, NY 10019

Please note that your claim # 5155854-03 in the above referenced case and in the amount of \$200,000.00 allowed at \$221,650.00 has been transferred (unless previously expunged by court order)

ROTH IRA FBO RICHARD FELS PERSHING LLC AS CUSTODIAN  
TRANSFEROR: BANK HAPOALIM B.M.  
ATTN: RICHARD FELS  
227 SUNSET AVENUE  
RIDGEWOOD, NJ 07450

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 53877 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFeree WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 11/15/2016

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on November 15, 2016.

## **EXHIBIT B**

Claim Name	Address Information
ADVAM PARTNERS SGR	TRANSFEROR: ALLIANZ BANK FINANCIAL ADVISORS S.P.A., ATTN: GIAN PAOLO RIVANO-MARCO BINDA, VIA TURATI, 9, MILANO 20121 ITALY
BANCA AKROS SPA	ATTN: MR. LUCA GANDOLFI, VIA EGINARDO, 29, MILAN 20149 ITALY
BANCA AKROS SPA	ATTN: MR. LUCA GANDOLFI, VIA EGINARDO, 29, MILAN 20149 ITALY
BANK HAPOALIM B.M.	ATTN: DAVID HERTZ & HAROLD J. WEISSLER, 1177 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
BANK HAPOALIM B.M.	PAUL,WEISS,RIFKIND,WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
BANK HAPOALIM B.M.	ATTN: DAVID HERTZ & HAROLD J. WEISSLER, 1177 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
BANK HAPOALIM B.M.	PAUL,WEISS,RIFKIND,WHARTON & GARRISON LLP, ATTN: DOUGLAS R. DAVIS, 1285 AVENUE OF THE AMERICAS, NEW YORK, NY 10019
BANK HAPOALIM B.M.	18851 NE 29TH AVE, MIAMI, FL 33180
BANK HAPOALIM B.M.	18851 NE 29TH AVE, MIAMI, FL 33180
BARCLAYS BANK PLC	TRANSFEROR: AG SUPER FUND INTERNATIONAL PARTNERS, L.P., ATTN: DANIEL MIRANDA, 745 SEVENTH AVENUE, 2ND FLOOR, NEW YORK, NY 10019
BARCLAYS BANK PLC	ATTN: KEVIN CEM, 745 SEVENTH AVENUE, 2ND FLOOR, NEW YORK, NY 10019
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: BARCLAYS BANK PLC, C/O DAVIDSON KEMPNER CAPITAL MANAGEMENT, ATTN: JENNIFER DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
CA-INDOSUEZ WEALTH (EUROPE)	TRANSFEROR: BANCA AKROS SPA, ATTN: JEROME DA COSTA, 39 ALLEE SCHEFFER, LUXEMBOURG 2520 LUXEMBOURG
CA-INDOSUEZ WEALTH (EUROPE)	TRANSFEROR: BANCA AKROS SPA, ATTN: JEROME DA COSTA, 39 ALLEE SCHEFFER, LUXEMBOURG 2520 LUXEMBOURG
CREDIT SUISSE (DEUTSCHLAND) AG	ATTN: PAUL GILMORE, ELEVEN MADISON AVE, NEW YORK, NY 10010
CREDIT SUISSE (DEUTSCHLAND) AG	CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE (DEUTSCHLAND) AG	ATTN: PAUL GILMORE, ELEVEN MADISON AVE, NEW YORK, NY 10010
CREDIT SUISSE (DEUTSCHLAND) AG	CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH AVENUE, NEW YORK, NY 10019
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CREDIT SUISSE (DEUTSCHLAND) AG	CRAVATH, SWAINE & MOORE LLP, ATTN: RICHARD LEVIN, WORLDWIDE PLAZA, 825 EIGHTH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE (DEUTSCHLAND) AG	RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE (DEUTSCHLAND) AG	RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE (DEUTSCHLAND) AG	RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019
CREDIT SUISSE (DEUTSCHLAND) AG	RICHARD LEVIN, ESQ., CRAVATH, SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019
ISRAEL DISCOUNT BANK OF NEW YORK	TRANSFEROR: BANK HAPOALIM B.M., ATTN: CUSTODY OPS, 511 FIFTH AVENUE, MEW YORK, NY 10017
ROTH IRA FBO RICHARD FELS PERSHING LLC AS CUSTODIAN	TRANSFEROR: BANK HAPOALIM B.M., ATTN: RICHARD FELS, 227 SUNSET AVENUE, RIDGEWOOD, NJ 07450
ROTHSCHILD BANK AG (AS NOMINEE)	ZOLLIKERSTRASSE 181, ZURICH 8034 SWITZERLAND
SOFIA GP SGR SPA	TRANSFEROR: ADVAM PARTNERS SGR, ATTN: ALBERTO CRESPI, VIA FIORI OSCURI 5, 20121 MILANO ITALY
TARGOBANK AG & CO. KGAA	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, ATTN: M. BREMENKAMP AND ALEXA BOEHLE, KASERNENSTRASSE 10, DUSSELDORF 40213 GERMANY

Claim Name	Address Information
TARGOBANK AG & CO. KGAA	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, ATTN: M. BREMENKAMP AND ALEXA BOEHLE, KASERNENSTRASSE 10, DUSSELDORF 40213 GERMANY
TARGOBANK AG & CO. KGAA	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, ATTN: M. BREMENKAMP AND ALEXA BOEHLE, KASERNENSTRASSE 10, DUSSELDORF 40213 GERMANY
TARGOBANK AG & CO. KGAA	TRANSFEROR: CREDIT SUISSE (DEUTSCHLAND) AG, ATTN: M. BREMENKAMP AND ALEXA BOEHLE, KASERNENSTRASSE 10, DUSSELDORF 40213 GERMANY
UBS AG	TRANSFEROR: ROTHSCHILD BANK AG (AS NOMINEE), BAHNHOFSTRASSE 45, ZURICH SWITZERLAND
YORK EUROPEAN OPPORTUNITIES INVESTMENTS MASTER FUND, L.P.	TRANSFEROR: YORK EUROPEAN OPPORTUNITIES MASTER FUND, L.P., YORK CAPITAL MANAGEMENT, ATTN: LAUREN SEARING YUN, 767 5TH AVENUE, 17TH FLOOR, NEW YORK, NY 10153
YORK EUROPEAN STRATEGIC INVESTORS HOLDINGS FUND, L.P.	TRANSFEROR: YORK EUROPEAN OPPORTUNITIES INVESTMENTS MASTER FUND, L.P., ATTN: MICHELLE LATZONI, 30 HUDSON STREET, 5TH FLOOR, JERSEY CITY, NJ 07302

<b>Total Creditor Count 37</b>
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